



Guidelines Governing Shipments of Empty Private Railcars Moving On Own Wheels

This document is for information purposes only. In the event of a discrepancy between this document and CN 890000 Series, RIC 6007 or any other commercial tariff, contract, or publication, the latter shall govern.

The shipping instructions that you provide to CN, via EDI, CN's eBusiness Site, or other methods, constitute your "Bill of Lading". Your Bill of Lading is a legal, binding document and it dictates, among other things, how your shipment is moved, whether the movement is subject to charges and the applicable rates for the service ("Revenue" movement) or qualifies to move without charge ("Non-Revenue" movement). Failure to provide accurate information on your Bill of Lading may result in shipping delays and additional charges to you. In addition, any request to change the Bill of Lading information after it has been sent to CN will be subject to the applicable terms, conditions, and charges indicated in Tariff CN 9000 Series.

The party sending CN the Bill of Lading is required to provide accurate data including:

- The Origin, Destination and Commodity (Standard Transportation Commodity Code)
- The Revenue Status – "Revenue" or "Non -Revenue"
- The party that is responsible for applicable charges ("Payer of Freight") if the move is Revenue (if the Payer of Freight information is inaccurate or missing from the Bill of Lading, the legal car owner, as of the date of movement, identified in the *Uniform Machine Language Equipment Register (UMLER)* will be responsible for the charges

To help ensure that the information sent on the Bill of Lading is accurate, and to help determine if the shipment will be Revenue or Non-Revenue, please refer to the Shipping Guidelines in this document:

Item 100 - Canada: Guidelines for Shipments with Origin and Destination in Canada

Item 200 - United States: Guidelines for Shipments with Origin and Destination in the United States

Item 300 - Transborder: Shipments with Origin in Canada and Destination in the United States (or Vice Versa)

Item 100 – Canada

Guidelines for Shipments with Origin and Destination in Canada



Bill of Lading and Charge Guidelines Applicable on Empty¹ Private Freight Cars Moving on Their Own Wheels Originating AND Destined to Points in Canada

Railcar Is	Railcar Type is	Railcar is	Standard Transportation Commodity Code (STCC) must be ² :	Revenue Status ³
Privately owned or leased	All Car Types	New or newly acquired or restenciled or moving to be restenciled	3742213	The current movement is always a revenue movement subject to applicable freight charges under CN 890000 Series or other commercial publications.
		Moving on CN's rail network for the first time	3742217 or 3742263	
		Moving to be dismantled or scrapped	3742293 or 3742295	If the railcar's previous movement on CN was a revenue movement: The current movement is non-revenue and subject to Mileage Equalization provisions in accordance with CN 6544 Series ⁴ . If the railcar's previous movement on CN was a non-revenue movement: The current movement is revenue and subject to applicable freight charges under CN 890000 Series or other commercial publications.
		Moving for cleaning, lining, relining, maintenance, modification, retrofit, repair or storage	3742263 or 3742297	
		Moving for any other purpose (Ex. For repositioning)	3742217	

¹ For a railcar to qualify as being "empty" for shipping purposes, the maximum weight of any lading, including residue or debris, must not exceed 2,500 pounds.

² For full commodity descriptions and additional STCC numbers, please refer to Item 500.

³ If there is a discrepancy between the Bill of Lading and CN's actual movement records, the latter shall govern for the purposes of determining the revenue status of a shipment.

⁴ In the case of revenue movements interchanged off of CN's network to another railroad, the empty railcar must be returned to CN by the same railroad at the same interchange point in order to be considered a non-revenue movement.

Item 200 – United States

Guidelines for Shipments with Origin and Destination in United States



Bill of Lading and Charge Guidelines Applicable on Empty¹ Private Freight Cars Moving on Their Own Wheels Originating AND Destined to Points in United States

Railcar Is	Railcar Type is	Railcar is	Standard Transportation Commodity Code (STCC) must be ^{2:}	Revenue Status ³
Privately owned or leased	Tank	New or newly acquired or re-stenciled or moving to be re-stenciled	3742213	The current movement is always a revenue movement subject to applicable freight charges under CN 890000 Series or other commercial publications.
		Moving on CN's rail network for the first time	3742217 or 3742263	
		Moving to be dismantled or scrapped.	3742293 or 3742295	If the railcar's previous movement on CN was a loaded revenue movement: The current movement is non-revenue and subject to Mileage Equalization provisions in accordance with RIC 6007 Series ⁴ .
		Moving for cleaning, lining, relining, maintenance, modification, retrofit, repair or storage	3742263 or 3742297	If the railcar's previous movement on CN was not a loaded revenue movement: The current movement is revenue and subject to applicable freight charges under CN 890000 Series or other commercial publications.
		Moving for any other purpose (Ex. For repositioning)	3742217	Non Revenue Movement: Subject to Mileage Equalization provisions in accordance with RIC 6007. ⁴
Privately owned or leased	All other car types	New or newly acquired or re-stenciled or moving to be re-stenciled	3742213	The current movement is always a revenue movement subject to applicable freight charges under CN 890000 Series or other commercial publications.
		Moving on CN's rail network for the first time	3742217 or 3742263	
		Moving to be dismantled or scrapped	3742293 or 3742295	If the railcar's previous movement on CN was a revenue movement: The current movement is non-revenue. ⁴
		Moving for cleaning, lining, relining, maintenance, modification, retrofit, repair or storage	3742263 or 3742297	If the railcar's previous movement on CN was a non-revenue movement: The current movement is revenue and subject to applicable freight charges under CN 890000 Series or other commercial publications.
		Moving for any other purpose (Ex. For repositioning)	3742217	

¹ For a railcar to qualify as being "empty" for shipping purposes, the maximum weight of any lading, including residue or debris, must not exceed 2,500 pounds.

² For full commodity descriptions and additional STCC numbers, please refer to Item 500

³ If there is a discrepancy between the Bill of Lading and CN's actual movement records, the latter shall govern for the purposes of determining the revenue status of a shipment.

⁴ In the case of revenue movements interchanged off of CN's network to another railroad, the empty railcar must be returned to CN by the same railroad at the same interchange point in order to be considered a non-revenue movement.

Item 300 – Transborder

Guidelines for Shipments with Origin in Canada and Destination in United States (or Vice-Versa)



Bill of Lading and Charge Guidelines Applicable on Empty¹ Private Freight Cars Moving on Their Own Wheels Originating at Points in Canada AND Destined to Points in United States, Or Vice-Versa

Railcar Is	Railcar Type is	Railcar is	Standard Transportation Commodity Code (STCC) must be ^{2:}	Revenue Status ³
Privately owned or leased	Tank	New or newly acquired or re-stenciled or moving to be re-stenciled	3742213	The current movement is always a revenue movement subject to applicable freight charges under CN 890000 Series or other commercial publications.
		Moving on CN's rail network for the first time	3742217 or 3742263	
		Moving to be dismantled or scrapped	3742293 or 3742295	If the railcar's previous movement on CN was a loaded revenue movement: The current movement is non-revenue and subject to Mileage Equalization provisions in accordance with RIC 6007 Series ⁴ .
		Moving for cleaning, lining, relining, maintenance, modification, retrofit, repair or storage	3742263 or 3742297	If the railcar's previous movement on CN was not a loaded revenue movement: The current movement is revenue and subject to applicable freight charges under CN 890000 Series or other commercial publications.
		Moving for any other purpose (Ex. For repositioning)	3742217	If the railcar's previous movement on CN was a revenue movement: The current movement is non-revenue and subject to Mileage Equalization provisions in accordance with RIC 6007 Series ⁴ . If the railcar's previous movement on CN was a non-revenue movement: The Canadian portion of the current movement is revenue and subject to applicable freight charges under CN 890000 Series or other commercial publications. The US portion of the current movement is non-revenue in accordance with RIC 6007 Series ⁴ .

(Continued on next page)

Railcar Is	Railcar Type is	Railcar is	Standard Transportation Commodity Code (STCC) must be ² :	Revenue Status ³
Privately owned or leased	All other car types	New or newly acquired or re-stenciled or moving to be re-stenciled	3742213	The current movement is always a revenue movement subject to applicable freight charges under CN 890000 Series or other commercial publications.
		Moving on CN's rail network for the first time	3742217 or 3742263	
		Moving to be dismantled or scrapped	3742293 or 3742295	<p>If the railcar's previous movement on CN was a revenue movement: The current movement is non-revenue.⁴</p> <p>If the railcar's previous movement on CN was a non-revenue movement: The current movement is revenue and subject to applicable freight charges under CN 890000 Series or other commercial publications.</p>
		Moving for cleaning, lining, relining, maintenance, modification, retrofit, repair or storage	3742263 or 3742297	
		Moving for any other purpose (Ex. For repositioning)	3742217	

¹ For a railcar to qualify as being "empty" for shipping purposes, the maximum weight of any lading, including residue or debris, must not exceed 2,500 pounds.

² For full commodity descriptions and additional STCC numbers, please refer to Item 500

³ If there is a discrepancy between the Bill of Lading and CN's actual movement records, the latter shall govern for the purposes of determining the revenue status of a shipment.

⁴ In the case of revenue movements interchanged off of CN's network to another railroad, the empty railcar must be returned to CN by the same railroad at the same interchange point in order to be considered a non-revenue movement.

⁵ All revenue interline empty movements must be billed "Rule 11" and must have a valid Rule 11 payer of freight.

Item 400

Guidelines for Payer of Freight in eBusiness



If Movement is Paid by the Shipper (Prepaid)	If Movement is Paid by the Consignee (Collect)
<ol style="list-style-type: none">1. Enter your pattern2. Select "Shipper Prepaid" in the "Freight Charges Paid" by field3. Write full legal shipper's name and address in the "Shipper (Sold by)" line under the "Parties to the Shipment" Section	<ol style="list-style-type: none">1. Enter your pattern2. Select "Receiver Collect" in the "Freight Charges Paid by" field3. Write full legal shipper's name and address in the "Consignee (Sold to)" line under the "Parties to the Shipment" Section

If Movement is Paid by A Third Party	For CN Interline or Transborder Moves
<ol style="list-style-type: none">1. Enter your pattern2. Select "Other (third party)" in the "Freight Charges Paid" by field3. Write full legal shipper's name and address in the "Payer of Freight" line under the "Parties to the Shipment" Section	<ol style="list-style-type: none">1. Enter a Rule 11 bill of lading with the origin carrier. On the CN site, you need to make sure the Rule 11 box is selected from the "Pattern Manager"2. Always specify who the Rule 11 payer will be for each railroad.

If you require assistance, please contact **1-800-361-0198** or eBusiness@cn.ca

Item 500

Standard Transportation Commodity Code (STCC) Guidelines



STCC	Description
3741110	Locomotives, locomotive tenders or locomotives and tenders combined, compressed air, electric, gas, gasoline, oil-electric or oil-hydraulic, moved on own wheels
3742136	Coach cars, NEC, railway, moved on own wheels
3742210	Cars, railway freight, hot metal or ladle, with more than 4 wheels, moved on own wheels
3742211	Cars, railway freight, new or newly acquired, or restenciled or for sale, NEC, moved on own wheels, railroad owned or privately owned, non-revenue movement
3742213	Cars, railway freight, new, or newly acquired, or re-stenciled, or for sale, NEC, moved on own wheels railroad owned or privately owned, revenue movement
3742214	Revenue movements, cars, railway freight, railroad owned, not new, not newly acquired, not restenciled or not for sale, moved on own wheels (railroad marked cars)
3742217	Revenue movement, cars, railway freight, not railway owned, not new, not newly acquired, not re-stenciled, or not for sale, moved on own wheels (private marked)
3742239	Cars, railway freight, covered hopper type "lo", privately owned, moved on own wheels under charges as published in tariff ICC RPS 6007-series
3742263	Cars, railway freight, tank, cleaned and purged of hazardous materials, moved on own wheels
3742293	Cars, railway freight, moving for dismantling or scrapping, moved on own wheels railroad owned or privately owned, revenue movement
3742295	Cars, railway freight, moving for dismantling or scrapping, not moved on own wheels
3742297	Cars, railway freight, bad order, moving empty on own wheels, non-revenue
4321110	Cars, TTX, new, moved on own wheels, at contract rates
4321130	Cars, TTX, moved on own wheels, for light maintenance repairs
48*	Various – dangerous products: please consult STCC guidelines as published by RAILINC
49*	Various – dangerous products: please consult STCC guidelines as published by RAILINC

For a complete list of STCCs, please consult www.railinc.com

Copies of CN's tariffs are available on our website. To ensure you always have the latest copy of the publication, we encourage you to subscribe at www.cn.ca

RIC 6007 can be viewed at <http://www.nationaltariffs.com/RIC6007-O.htm>